

600 South Avenue West . Westfield, New Jersey 07090 908.654.5000 • FAX 908.654.7866 • WWW.LDLKM.COM

PATENTS, TRADEMARKS, COPYRIGHTS & UNFAIR COMPETITION

September 19, 2006

**Commissioner For Patents** Office of Initial Patent Examination Customer Service Center P.O. Box 1450 Alexandria, VA 22313-1450

## **RE-CORRECT**

Re:

Our File: SONYJP 3.3-394

Appln. No. 10/560,442

Filing Date: December 13, 2005

Group Art Unit: 2123

Dear Sir:

We are enclosing herewith copies of the corrected filing receipt, executed Declaration and Application Data Sheet for the above-identified patent application.

Please note that the correct "Title" should read --Software revision system, software distribution apparatus, software-processing apparatus, and software revision method--.

Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

MARCUS/J. MILLET

Reg. No. 28,241

MJM/tf Enclosures



PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS FO. Box 1430 Alexandria, Viginia 22313-1450 www.uspto.gov

FILING OR 371 DRAWINGS TOT CLMS IND CLMS ATTY.DOCKET NO ART UNIT FIL FEE REC'D APPL NO. (c) DATE 11 4 SONY JP 3.3-394 12/13/2005 2123 1100 10/560.442

**CONFIRMATION NO. 8879** 

CORRECTED FILING RECEIPT

\*OC00000020305254\*

000530 LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK 600 SOUTH AVENUE WEST WESTFIELD, NJ 07090

Date Mailed: 09/05/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Masao Mizutani, Kanagawa, JAPAN; Junji Kato, Tokyo, JAPAN; Yoshihiro Nakada, Kanagawa, JAPAN;

#### **Assignment For Published Patent Application**

Sony Corporation, Tokyo, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number <u>000530</u>.

#### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/08947 06/18/2004

#### Foreign Applications

JAPAN 2003-176662 06/20/2003

If Required, Foreign Filing License Granted: 05/28/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/560,442

Projected Publication Date: 09/07/2006

Non-Publication Request: No

SEP ( 3 2006

date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

#### Early Publication Request: No

Title

Software update system, software providing device, software processing device, and software update method

**Preliminary Class** 

703

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The



#### **Application Data Sheet**

#### **Application Information**

Application Type:: Regular

Subject Matter:: Utility

Suggested Group Art Unit:: N/A

CD-ROM or CD-R?:: None

Sequence submission?:: None

Computer Readable Form (CRF)?:: No

Title:: SOFTWARE REVISION SYSTEM,

SOFTWARE DISTRIBUTION APPARATUS,

SOFTWARE-PROCESSING APPARATUS,

AND SOFTWARE REVISION METHOD

Attorney Docket Number:: SONYJP 3.3-394

Request for Early Publication?:: No

Request for Non-Publication?:: No

Suggested Drawing Figure:: 2

Total Drawing Sheets:: 6

Small Entity?:: No

Petition included?:: No

Secrecy Order in Parent Appl.?:: No

## **Applicant Information**

Applicant Authority Type:: Inventor

Status:: Full Capacity

Given Name:: Masao

Family Name:: Mizutani

City of Residence:: Kanagawa

Country of Residence:: Japan

Street of mailing address:: c/o Sony Corporation

7-35, Kitashinagawa 6-chome

City of mailing address:: Tokyo

Country of mailing address::

Japan

Postal or Zip Code of mailing address::

141-0001

**Applicant Authority Type::** 

Inventor -

Primary Citizenship Country::

Japan

Status::

**Full Capacity** 

Given Name::

Junji

Family Name::

Kato

City of Residence::

Tokyo

Country of Residence::

Japan

Street of mailing address::

c/o Sony Corporation

7-35, Kitashinagawa 6-chome

Shinagawa-Ku

City of mailing address::

Tokyo

Country of mailing address::

Japan

Postal or Zip Code of mailing address::

141-0001

Applicant Authority Type::

Inventor

Primary Citizenship Country::

Japan

Status::

Full Capacity

Given Name::

Yoshihiro

Family Name::

Nakada

City of Residence::

Kanagawa

Country of Residence::

Japan

Street of mailing address::

c/o Sony Corporation

7-35, Kitashinagawa 6-chome

Shinagawa-Ku

City of mailing address::

Tokyo

Country of mailing address::

Japan

Postal or Zip Code of mailing address::

141-0001

# **Correspondence Information**

Correspondence Customer Number::

000530

# Representative Information

Representative Customer Number::

000530

## **Domestic Priority Information**

| ſ   | Application::    | Continuity Type:: | Parent Application:: | Parent Filing Date:: |
|-----|------------------|-------------------|----------------------|----------------------|
| Ì   | This Application | National Stage of | PCT/JP2004/008947    | 06/18/04             |
| . 1 | , inc. ibbuse    |                   |                      |                      |

# **Foreign Priority Information**

| Country:: | Application number:: | Filing Date:: | Priority Claimed:: |
|-----------|----------------------|---------------|--------------------|
|           | P2003-176662         | 06/20/03      | Yes                |

## **Assignee Information**

Assignee name::

**Sony Corporation** 

Street of mailing address::

7-35, Kitashinagawa 6-chome

Shinagawa-Ku

City of mailing address::

Tokyo:

Country of mailing address::

Japan

Postal or Zip Code of mailing address::

141-0001

Don't No.: SONYJP 3.3-394 Sony Ref. No.: SO4P0828US00



proved for use through 9/30/96. OMB 0651-0032 rk Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **Declaration and Power of Attorney For Patent Application**

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宜言書

|  | A STATE OF THE STA |
|--|--|
| 下での氏名の奈明者として、私は以下の通り宣言します。   | As a below named inventor, I hereby declar that:   |
|  |  |
| and the second s | My residence, post office address and citizenship are as stated  |
| 私の住所、私吉箱、国籍は下記の私の氏名の後に記載され   | next to my name.   |
| と通りです。   |  |
|  |  |
| 下記の名称の光明に関して請求範囲に記載され、特許出版<br>している光明内容について、私が最初かつ唯一の光明者(下<br>記の氏名が一つの場合)もしくは最初かつ共同光明者である<br>と(下記の名称が複数の場合)借じています。  | I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled.   |
|  | SOFTWARE REVISION SYSTEM,  |
|  | SOFTWARE DISTRIBUTION APPARATUS  |
|  | SOFTWARE-PROCESSING APPARATUS,   |
|  | AND SOFTWARE REVISION METHOD   |
|  | AND SUPIWARE REVISION METHOD   |
| 上記免明の明細書(下記の欄でx向がついていない場合は、<br>本書に無付)は、  | the specification of which is attached herato unless the following box is checked:   |
|  | 18 June 2004   |
| □ガ日に提出され、米国出版番号または特許協定条約   | ga was filed on  |
| 一 河南山城会長を とし、  | as United States Application Number or<br>PCT International Application Number   |
|  | PCT/JP2004/008947 and was amended on (if applicable).  |
|  |  |
| 私は、特許請求範囲を含む上記訂正参の明細音を検討し、<br>内容を理解していることをここに表明します。  | I hereby state that I have reviewed and understand the contents of<br>the above identified specification, including the claims, as<br>amended by any amendment referred to above.  |
| むは、連邦規則法典第37編第1条56項に定義されると<br>おり、特許資格の有無について重要な情報を明示する義務が<br>あることを認めます。  | I acknowledge the duty to disclose information which is material to<br>patentability as defined in Title 37, Code of Federal Regulations,<br>Section 1.54.   |

the needs of the individual case. Any comments on the nd Trademark Office, Washington, DC 20231. DO NOT ensured of time you are required to complete this form should be sent to the Chief information Officer, Personnel of time you are required to complete this form should be sent to the Chief information Officer, Personnel of Peters and SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner of Peters and

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### Japanese Language Declaration (日本語宜言書)

私は、米国法典第35編119条 (a) - (d) 項叉は365条 (b) 頃に基き下記の、 米 国以外の国の少なくとも一ヵ国を指 定している特許協力条約 3 6 5 (a) 項に基ずく国際出額、又 は外国での特許出願もしくは発明を征の出版についての外国 **優先権をここに主張するとともに、優先権を主張している。** 本出版の前に出願された特許または発明者証の外閣出願を以 下に、枠内をマークすることで、示しています。

Prior Foreign Application(s)

**参加の第一176662** Japan (Country) (Number) (闰名) (番号) (Country) (Number) (国名) (番号)

私 t、第35編米国法典119条(e)項に基いて下記の米 国特許出版規定に記載された権利をここに主張いたします。

> (Application No.) (出版番号)

> > (出版各分)

(Filing Date) (出版日)

私は、下記の米国法典第35編120条に基いて下記の米 国特許出版に記載された権利、 又は米国を指定している特許 協力条約365条(c)に基ずく権利をここに主張します。ま た、木出版の各請求範囲の内容が米国法典第35編112条 第1項又は特許協力条約で規定された方法で先行する米国特 許出版に関示されていない限り、その先行米国出版香提出日 以降で本出願書の日本国内または特許協力条約国際提出日ま での期間中に入手された、連邦規則法典第37解1条56項 で定義された特許資格の有無に関する重要な情報について関 示義務があることを認識しています。

(Filing Date) (Application No.) (日類出) (出順番号) (Filing Date) (Application No.) (出顧日)

私は、私自身の知識に基ずいて本宣言書中で私が行なう表 明が真実であり、かつ私の入手した情報と私の信じるところ に基ずく表明が全て真実であると信じていること、さらに敬 意になされた虚偽の表明及びそれと同等の行為は米国法典第 18編第1001条に基ずき、罰金または拘禁、もしくはそ の尚方により処罰されること、そしてそのような故意による 虚偽の声明を行なえば、出願した、又は既に許可された特許 の有効性が失われることを認識し、よってここに上記のごと く宣誓を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing data before that of the application on which priority is claimed.

**Priority Not Claimed** 優先権主張なし

20 June 2003 (Day/Month/Year Filed) (出版年月日)

> (Day/Month/Year Filed) (出頭年月日)

I hereby claim the benefit under Title 38, United States Code, Section 119(e) of any United States provisional application(s) listed

> (Application No.) (出題番号)

(Filing Date) (出版日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 385(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of application.

> (Status: Patented, Pending, Abandoned) (现况:特許許可诱、係属中、放棄療)

> (Status: Patented, Pending, Abandoned) (现况: 特許許可济、保属中、故案病)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1801 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued

PTO/SB/106 (8-95)
Approved for use through 9/30/98. CMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### Japanese Language Declaration (日本語宣言書)

委化状: 私は下記の発明者として、本出顧に関する一切の 子統合を米特許商標局に対して遂行する弁理士または代理人 として、下記の者を指名いたします。(弁護士、または代理 人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

Customer No. 000530

Send Correspondence to:

Customer No. 000530

直接電話連絡党: (名前及び電話番号)

Direct Telephone Calls to: (name and telephone number)

Robert B. Cohen, Reg No. 32,768

Telephone: 908-654-5000

908-654-7866 Facsimile:

| 唯一または第一免明者名                  |       | Masao MIZUTANI   |
|------------------------------|-------|--|
| 免明者の署名 日付                    |       | mun by September 30, 2005                                |
| <b>企</b> 质                   |       | Residence<br>Kanagawa, Japan                             |
| <b>闰</b>                     |       | Citizenship<br>Japan                                     |
| 和音句<br>C/O Sony Corporation, | 7-35, | , Kitashinagawa 6-chome, Shinagawa-ku                    |
| Tokyo 141-0001, Japan        |       | Full name of second joint inventor, if any               |
| 第二共同発明者                      |       | Junji KATO   |
| 第二共同発明者 目付                   |       | Second inventor's signature Thing! With Synteman 30, 201 |
| 住前                           |       | Residence<br>Tokyo, Japan                                |
| <b>国格</b>                    |       | Citizenship<br>Japan                                     |
| *13% Sony Corporation,       | 7-35, | , Kitashinagawa 6-chome, Shinagawa-ku                    |
| Tokyo 141-0001, Japan        |       |  |
|                              |       | · · · · · · · · · · · · · · · · · · ·                    |

(第三以降の共同発明者についても同様に記載し、署名をす

(Supply similar information and signature for third and subsequent joint inventors.)

ること)

# Declaration and Power of Attorney For Patent Application - Additional Inventors

| Full name of third joint inventor, if ar |                               | •                   |                       |               |
|--|-------------------------------|---------------------|-----------------------|---------------|
| Third Inventor's signature               | J. Mahrile                    |                     | _Date September.3     | <u>:0.200</u> |
| Residence: Kanagawa, Japan               | V                             |                     | ·                     | e.            |
| Citizenship: Japan                       |                               |                     | :                     |               |
| Post Office Address: c/o Sony Corp       | oration, 7-35 Kitashinagawa 6 | -chome, Shinagawa-K | u, Tokyo 141-0001, Ja | apan          |